

# Implementation of the human resources strategy for researchers

UNIVERSITÉ PARIS CITÉ





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On 20 March 2019, Université Paris Cité has been founded in conjunction with the Institut de Physique du Globe de Paris, a third establishment of higher education that continues to maintain its legal independence<sup>1</sup>. The two founding universities, the University Paris Diderot and Paris Descartes were awarded an HRS4R label respectively in July 2017 and in November 2017.

In the autumn of 2019, the two founding universities informed the European Commission of the creation of Université Paris Cité and requested authorization to submit a new application for the HRS4R label in the name of the new establishment. They were given this authorization. Since then, the two universities have each forwarded their internal self evaluations—two years after obtaining their respective labels as planned—and have pursued the preparatory work for a joint action plan, merging the action plans originally set forth by the two establishments.

This new research-intensive university member of the UDICE association<sup>2</sup> made up of three Faculties—Health, Sciences, Humanities and Social Sciences and an institute, the IPGP, is strongly committed to social dialogue, quality of life in the workplace, the struggle against discrimination in general, and equality between men and women in particular. It defends rigorous, honest and transparent scientific practices. The establishment of a Committee for Ethics, Professional Conduct and Scientific Integrity, and this committee's inclusion in the university's statutes, are an institutional expression of this commitment.

<sup>1.</sup> The Institut de Physique du Globe de Paris (IPGP) is currently a candidate for the HRS4R label.

<sup>2.</sup> The UDICE association brings together 10 main French research universities. The presidency of UDICE is held by Christine Clerici, President of Université Paris Cité.

u-paris.fr/10-des-principales-universites-françaises-lancent-udice-lassociation-des-universites-de-recherche

## **Figures**

63 000 students

professors and researchers and comprises<sup>1</sup>

119 different research

structures and groups

1st

French university and **5<sup>th</sup> in Europe** for the number of articles best quoted at the world level<sup>2</sup>

of all the doctoral degrees awarded in France

<sup>1.</sup> Among 3 200 researchers are housed. These are researchers who are present in the laboratories of Université Paris Cité, but which are paid by scientific organisations: CNRS, CEA, INSERM, etc.

<sup>2.</sup> In 2020, CWTS Leiden Ranking.

## **Internal Analysis**

The analysis we propose presents a particularity: the very recent merger of the two universities has led to the gradual harmonization of all practices and tools, thanks to the collaboration, also gradual, of the teams of the founding institutions, which occupy a variety of sites. Specific methods of support (both individual and collective) have been designed during the period of the construction of Université Paris Cité. A survey, using an online questionnaire, was sent to all staff in June-July 2020 at the request of the Hygiene and Safety Committee (CHSCT). This questionnaire, on the effects of the merger on staff, cast doubt on the feasibility of sending out a new questionnaire focusing on HRS4R actions and addressed to researchers. The risk was that the response rate would be too low and thus insignificant.

The implementation of the action plans between 2017 and 2019 make it possible to draw up the following inventory of achievements.

#### 1. Current Strengths

The implementation of the HRS4R action plans of the former University Paris Diderot and University Paris Descartes has made it possible to collect and conserve data for many performance indicators over time. It is thus possible to analyse the effects of actions and even lead to their adjustment.

The OTM principles¹ are systematically implemented in recruiting professors (assistant, lecturer or full)² and research-engineers. A written guide and a training session are available to the presidents of selection committees.³

The European Research Network is maintained within the Alliance Sorbonne Paris Cité, which is organized around Université Paris Cité. This network of experts ensures monitoring<sup>4</sup> and awareness of European funding opportunities as well as providing assistance through each phase of the construction of a project ("Europe access pack"). The network also develops actions for the benefit of research teams by offering, in particular, a training cycle.

Université Paris Cité defends the principles of open science<sup>5</sup> and has set up a master plan based on the recommendations of the Ministry of Higher Education, Research and Innovation, with regard to open data, open access and open source. Naturally, the representatives of open science at Université Paris Cité are adopting measures in keeping with the European Open Science Cloud (EOSC)<sup>6</sup>, but also in keeping with local measures, which will be included in future contracts of objectives and resources for faculties and component structures; these measures will provide reliable indicators within two years. A training plan in open science is being set up in cooperation with the graduate schools, primarily for the benefit of doctoral students, and then all staff as of 2021.

The attention paid to gender equality has enabled Université Paris Cité to be ranked first in France and fifteenth in the world in terms of gender parity among the authors of publications (CWTS Leiden Ranking, 2020). The advances in this area must be continued. Measures relating to gender equality and the treatment of victims of harassment are therefore maintained in the new institution and are even being strengthened since the Ministry of Higher Education, Research and Innovation has been given the authority to implement them. The French Ministry expects each establishment to have a plan for professional equality, focusing primarly on eliminating pay gaps and requiring equal treatment in recruitment procedures by the end of 2020. In addition, new communication tools are currently being finalized.7

Facilities to welcome researchers have been set up.8

Even before the merger, the teams of the occupational medicine unit had been strengthened, in particular thanks to the **recruitment of occupational psychologists** in order to anticipate psychosocial risks.

Generally speaking, the European commitment of Université Paris Cité is attested to by its membership in **the Guild of European Universities for Intensive Research** and by its participation in Circle U, recently selected as a new "European University", with the aim of strengthening the links between teaching and research.

<sup>1.</sup> The three principles, openness, transparency and merit, adopted as an extension of the European Charter for Researchers, must apply to each recruitment.

<sup>2.</sup> u-paris.fr/wp-content/uploads/2020/10/Vademecum-recrut-EC\_2020.pdf

<sup>3.</sup> u-paris.fr/recrutement-enseignants-chercheurs-et-enseignants-chercheurs

<sup>4.</sup> u-paris.fr/reseau-recherche-europe

<sup>5.</sup> u-paris.fr/publications-scientifiques

<sup>6.</sup> This refers to a portal providing access to services and research resources.

<sup>7.</sup> www.danstafac.com/ [épisode 4]

<sup>8.</sup> u-paris.fr/demande-de-logement-pour-les-chercheur.e.s u-paris.fr/universite-de-paris-soutient-la-mobilite-des-personnels

#### 2. Weaknesses

Weaknesses remain and have not yet been overcome. Needless to say, they have been taken into account in the action plan of Université Paris Cité.

The recent merger has not yet made it possible to develop a new institutional culture and new practices that erase the disparities in the culture and working methods of the founding institutions. The transversal repartition of the administrative load is still inadequate and this deficiency slows down the dissemination of the University project within departments and faculties. In addition, although indicators have been developed to assess the actions implemented between 2017 and 2019, they are sometimes insufficiently qualitative.

Efforts have been made to raise awareness of the importance of applying OTM principles for the recruitment of Temporary Teaching and Research Assistants and doctoral students on contract. These efforts have not been adequate except with regard to the awarding of international doctoral contracts. However, the College of Doctoral Studies, in conjunction with the Research Committee, now plays a significant role in the recruitment and follow-up of doctoral students on contract, in the training of these students<sup>2</sup>, and in the awarding of international mobility

**Encouragement**<sup>3</sup> is given to professor and researcher mobility, but it remains limited.

Significant measures should be adopted to **promote** work-life balance, in particular by increasing opportunities for teleworking and sustaining focus on the quality of life at work.

In general, as highlighted in the self-evaluation reports of the former University Paris Descartes and University Paris Diderot, **communication with regard to actions implemented between 2017 and 2019** remained unsatisfactory. This is a difficulty that is important to resolve definitively in the action plan of

Université Paris Cité, notably in publicizing the training offers for professors at all levels.

Finally, in both of the founding universities, localized difficulties have revealed a loosening of professional ethics—unrelated to the solid structures that have existed at Paris Descartes in terms of animal experimentation and health research—and a loosening of professional ethics at Paris Diderot. Université Paris Cité is actively working to ensure that these situations do not recure. Thus, a Committee for Ethics, Professional Conduct and Scientific Integrity Ethics is mentioned in the University's statutes<sup>4</sup> (Article 14) and has been more precisely defined in the internal regulations of Université Paris Cité adopted on March 13, 2020. Under the aegis of this Committee composed of experts from outside the university recognized for their experience and expertise, operational committees have been created: the first two concern ethics in the field of research on the human subject for one and on animal experimentation for the other; the third concerns scientific integrity. Finally, deontological commissions have been created in each of the faculties. The operational committees will pay attention to the researchers activities and to administrative staff members accompanying research.

Naturally, Université Paris Cité participates in committees for the protection of persons in the field of medical research. The methods of composition and operation of these committees are determined by existing regulation.

grants to these students.

<sup>1.</sup> u-paris.fr/contrats-doctoraux-internationaux-2020

<sup>2.</sup> doctorat.u-paris.fr/catalogue

<sup>3.</sup> u-paris.fr/enseigner-et-chercher-a-letranger

<sup>4.</sup> www.legifrance.gouv.fr/eli/decret/2019/3/20/2019-209/jo/texte

#### 3. Work Methods

In order to prepare Université Paris Cité HRS4R application, we decided to set up a joint steering committee in 2019 for the two founding institutions that have now since merged. This committee, largely composed of the members who had worked on the first application for each institution, met several times during 2019. It was decided to set up working groups made up of representatives from the two founding universities for each of the following topics:

#### **Communication**

# Ethics, Integrity and Professional Conduct

#### Recruitment

### **Working conditions**

# Career Development

## These working groups brought together researchers, professors, and administrative and technical staff.

Each of these groups met on a regular basis to merge the actions programmed in each of the initial action plans. Most of the previously selected performance indicators have been retained and expanded with a view to an approach emphasizing quality.

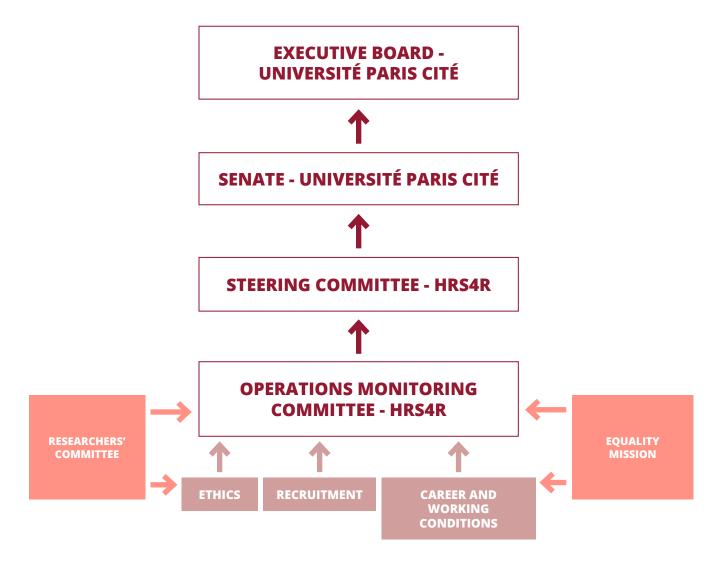
The implementation schedule has been readjusted to take into account the merger and the obligations it entails in terms of reorganization and harmonization of procedures within a fairly short period of time. This

readjustment is one of the reasons why the draft of the online questionnaire we were planning to send to the entire research community could not be completed. The second is due to the implementation of the questionnaire on working conditions, mentioned above. Circulating two questionnaires almost simultaneously risked leading to a low response rate and interfering with requests. Moreover, during the health crisis, two questionnaires had already been sent to the professors of Université Paris Cité, one concerning the conditions of distance work and the other concerning the use of digital tools.

## **Governance Structure**

| HRS4R STEERING<br>COMMITTEE                     | <ul> <li>→ Ensure macro monitoring of the implementation of the action plan</li> <li>→ Pre-validate and propose adaptations to the action plan to the authorities</li> <li>→ Define the methods for continuous improvement and evaluation of the action plan</li> <li>→ Validate the action leaders (at the suggestion of the HRS4R project manager)</li> </ul>      | <b>2 TIMES A YEAR</b> by invitation of the HRS4R Project Manager |
|---|--|--|
| HRS4R<br>OPERATIONAL<br>MONITORING<br>COMMITTEE | <ul> <li>→ Apply and monitor the micro implementation of the action plan</li> <li>→ Guarantee optimal cross-functionality between structures</li> <li>→ Analyze indicators and propose adaptations to the action plan to steering committee</li> <li>→ Propose methods for continuous improvement and evaluation of the action plan to steering committee</li> </ul> | <b>4 TIMES A YEAR</b> by invitation of the HRS4R Project Manager |
| RESEARCHERS'<br>COMMITTEE                       | <ul> <li>→ Provide information regarding the monitoring and implementation of the HRS4R action plan</li> <li>→ Propose adaptations to the action plan</li> <li>→ Gather needs / remarks / questions</li> </ul> Thematic experts are to be solicited according to the agenda.   | <b>4 TIMES A YEAR</b> by invitation of the HRS4R Project Manager |
| EQUALITY<br>MISSION                             | <ul> <li>→ Raise awareness and improve training on equality, particularly in terms of career development and work-life balance</li> <li>→ Develop a plan for professional equality</li> <li>→ Fight against harassment and violence</li> </ul>   | <b>2 TIMES A YEAR</b> by invitation of the HRS4R Project Manager |

# **Governance Chart**





## Action plan of Université Paris Cité

# Université Paris Cité' action plan takes into account all regulatory changes in effect since the label was awarded in 2017.

**The decree of May 9, 2017** initiated the development of career monitoring, mandating University professors and researchers to produce Individual Activity Reports every five years, to be examined by the National Council of Universities.

**The decree of February 8, 2018** mandated pedagogical training for all new lecturers, mainly during the first year of their assignment.

**The National Open Science Plan**, initiated by the Minister of Higher Education, Research and Innovation in July 2018, responds to the Amsterdam Call for Action on open science. The roadmap adopted promotes, inter alia, HAL, the French open publication platform.

The « PACTE » law of May 22, 2019 introduced major changes in the conditions of entrepreneurship in universities. Although the application decrees have not yet been disclosed, the end result of the "PACTE" law will be to increase universities' responsibilities with regard to the ethics of start-ups created by researchers.

The OTM principles were reinforced by the creation of the College of Ethics for Higher Education, Research and Innovation (order of March 1, 2018). This College issued an opinion on the principles that would strengthen the impartiality of members of selection committees recruiting professors. An order of December 3, 2018 specifies the procedure for assembling alerts issued by whistle-blowers in the Ministry of Higher Education, Research and Innovation.

**The decree of April 10, 2017**, supplemented by a circular of July 2, 2018, establishes a deontological referent in higher education and research institutions. Since the creation of the French Office of Scientific Integrity in March 2017, integrity referents have been designated.

The skills of doctoral degree holders have been officially recognized, and the doctorate degree has been entered in the national professional certification directory in accordance with the recommendation of the European Council of 22 May 2017 (order of 22 February 2019).

**Finally, in October 2018**, the Institut des Hautes Etudes de l'Education et de la Formation will implement training courses for directors of scientific components, essentially from a strategic perspective.

**The law of August 6, 2019** on the transformation of the civil service provides a mechanism to prevent and combat sexual and moral harassment. The Decree of May 4, 2020 presents the way to elaborate a professional equality plan.

# The proposed plan for Université Paris Cité includes more than fifty actions:

32

are related to development

are in the area of communication

are related to formalization

These actions are mainly divided between the "Ethics" and "Career" sections (about twenty actions for each of these sections).

Several of the proposed actions are not only the result of the merging of those initially implemented by the former University Paris Descartes and the former University Paris Diderot, but are wholly new.

Two of these action plans emphasize the ambitions of Université Paris Cité in the field of ethics, since it will be a question of drawing up a multi-year action plan (2023-2028) taking into account conflicts of interest, including financial ones. This plan, in its development and implementation, will be submitted to the expertise of the Strategic Advisory Board¹ that the university has set up.

<sup>1.</sup> Composed of members from outside Université Paris Cité, the Strategic Orientation Council "assists the Institution in the evaluation, identification and evolution of topics of research and training, as well as in its strategy of international development and partnerships" (Article 13 of the Statutes of Université Paris Cité).

## **Action plan** of Université Paris Cité

# Research Independence

**Ethical Principles** 

#### 1.1. COMMUNICATION 3<sup>RD</sup> QUARTER 2022 > 3<sup>RD</sup> QUARTER 2026

- Put fundamental and regulatory texts online.
- Focus on freedom of research in the monthly UP newsletter.

#### 1.2. DEVELOPMENT 3<sup>RD</sup> QUARTER 2022 > 3<sup>RD</sup> QUARTER 2026

• Integrate the fundamental and regulatory texts into the Researcher's Guide.

Performance Indicator: Number of connections.

2.1. DEVELOPMENT

1<sup>ST</sup> QUARTER 2023

- Create a page describing the composition and the mode of referral of the Ethics, Integrity and Deontology Committee, as well as those of the Research Ethics Committee, the Operational Committee of Scientific Integrity, other committees, and facultative deontological commissions.
- Add a second page devoted to research protocols involving human subjects (including the Committee for the Protection of Persons in Medical Research) and research projects involving animal experimentation; provide the contact details of specific committees of Université Paris Cité.
- Complete with a third page devoted to intellectual property rights.
- Include these pages in the Researcher's Guide.
- Focus on ethical principles in the monthly Université Paris Cité newsletter.

<u>Performance Indicator:</u> Evaluation of familiarity with ethical principles through evaluation questionnaires.

#### DIRECTION/ **ACTION BEARER:**

Research **DIRECTION/** REFERENT:

Communication

#### DIRECTION/ **ACTION BEARER:**

Research **DIRECTION/ REFERENT:** 

Communication

#### **DIRECTION/ ACTION BEARERS:**

**Ethics Committee** 

#### **DIRECTION/** REFERENT:

Research, Communication. Libraries

Responsibility

#### 3.1. COMMUNICATION 1<sup>ST</sup> QUARTER 2023 > 1<sup>ST</sup> QUARTER 2026

- Publish, on the page dedicated to the recruitment of professors, a vademecum on the composition of Selection Committees.
- Communicate better with unsuccessful candidates about the possibility of requesting the reports of the Selection Committees.
- Include an evaluation feedback form. See action II 3.1.

Performance Indicators: Evaluation of familiarity with ethical principles through evaluation questionnaires/Number of views/Number of completed evaluation forms returned.

DIRECTION/ **ACTION BEARER:** 

**DIRECTION/ REFERENT:** Communication, **Faculties** 

Responsability

#### 3.2. COMMUNICATION 3<sup>RD</sup> OUARTER 2022

• Create a page promoting the software « Compilatio » with regular reminders for its use, especially every Spring (before Master thesis defenses).

Performance Indicator: Number of connections to « Compilatio ».

#### DIRECTION/ **ACTION BEARER:**

Research

#### **DIRECTION/ REFERENT:**

College of Doctoral Studies, Education, Libraries, Faculties,

#### 3.3. DEVELOPMENT

#### 1<sup>ST</sup> QUARTER 2022 > 3<sup>RD</sup> QUARTER 2026

 Training doctoral students and professors in scientific integrity, including intellectual property rights.

Performance Indicators: Number of training programs related to scientific integrity (including intellectual property rights) offered/ Number of people trained (overall and by category) in scientific integrity (including intellectual property rights)/Evaluation of satisfaction via hotcold scale questionnaires.

#### **DIRECTION/ ACTION BEARERS:** Research **DIRECTION/** REFERENT: HR,

Libraries, Faculties

#### 3.4. FORMALIZATION 2<sup>ND</sup> QUARTER 2023

• Introduce a clause relating to intellectual property (IP) rights in employment contracts (research, lecturers, contract workers, contractual doctoral student teaching).

Performance Indicators: Number and category of new employment contracts that include an intellectual property clause.

#### **DIRECTION/ ACTION BEARERS:**

**DIRECTION/** REFERENT: Research, Archives

#### 3.5. FORMALIZATION 3RD QUARTER 2023

• Verify that the internal regulations of the research units include rules relating to ethics, integrity and deontology.

Performance Indicator: Number and proportion of by-laws that include rules on ethics, integrity and professional conduct.

#### DIRECTION/ **ACTION BEARER:**

**Archives DIRECTION/** 

REFERENT: Research, Faculties

#### 3.6. DEVELOPMENT 1<sup>ST</sup> QUARTER 2024

 Draw up a multi-year action plan 2023-2028 relating to ethics, integrity, and deontology, including economic conflicts of interest (Inventory, SWOT, Action Plan, Milestones, Means and Indicators, methodology for monitoring the action plan). To put online and disseminate the action plan.

Performance Indicator: Framework document for the action plan.

#### 3.7. DEVELOPMENT

#### 2<sup>ND</sup> QUARTER 2024 > 4<sup>TH</sup> QUARTER 2026

• Consult with the Strategic Advisory Board to assess the midterm implementation of the multi-year strategic plan 2023-2028 proposed by the Ethics Committee on Ethics, Integrity, Deontology (including Conflict of Interest).

Performance Indicator: Number of consultations of the Strategic Advisory Board by the Ethics Committee to assess mid-term implementation of the 2023-2028 Multi-Year Strategic Plan.

**Professional Profile** 

#### 4.1. DEVELOPMENT 2<sup>ND</sup> OUARTER 2022

• Disseminate the new signature procedures for Université Paris Cité format publications.

Performance Indicator: Number and rate of publications with an address that complies with the signature charter.

#### **DIRECTION/ ACTION BEARER:**

Research

#### DIRECTION/ **REFERENT:**

Communication. Libraries

#### **DIRECTION/**

#### **REFERENT:**

Research, HR

#### **ACTION BEARER:** Communication DIRECTION/

#### **4.2. DEVELOPMENT**

#### 1<sup>ST</sup> QUARTER 2022 > 1<sup>ST</sup> QUARTER 2026

 Create a page concerning the valorization and exploitation of research results, to be included in the Researcher's Guide.

Performance Indicators: Number of connections, number of requests addressed to the Research (to be specified according to the action)/ Number of patents, and number of start-ups.

#### **DIRECTION/ ACTION BEARER:**

Research

#### DIRECTION/ REFERENT:

Libraries

#### 4.3. DEVELOPMENT

#### 3RD QUARTER 2023 > 1ST QUARTER 2024

• Create a page, and develop communication around this page, encouraging researchers to obtain an ORCID number and an IdHAL

Performance Indicators: Number of ORCID numbers for Université Paris Cité/Number of IdHAL identifiers for Université Paris Cité.

#### **4.4. DEVELOPMENT** 3<sup>RD</sup> QUARTER 2023 > 4<sup>TH</sup> QUARTER 2023

• Create a page to encourage researchers to post their publications on HAL Université Paris Cité.

Performance Indicators: Number of bibliographical references entered in HAL for Université Paris Cité, number of bibliographical references present in HAL for Université Paris Cité for any given year/Number of full-text documents in HAL for Université Paris Cité/Number of full-text documents added to HAL for Université Paris Cité for any given year.

#### DIRECTION/ **ACTION BEARER:**

Research

#### **DIRECTION/ REFERENT:**

Libraries

#### 4.5. DEVELOPMENT

#### 1<sup>ST</sup> QUARTER 2022 > 2<sup>ND</sup> QUARTER 2022

• Train professors and doctoral students in Open Science (outside the Data Management Plan).

Performance Indicators: Number and category of Open Science training courses (excluding Data Management Plan) offered/Number of people trained (overall and by category) in Open Science (excluding Data Management Plan)/Evaluation of satisfaction via hot-cold scale questionnaires.

#### **DIRECTION/ ACTION BEARER:**

Research

**DIRECTION/** REFERENT: HR, Department of Libraries

#### **5.1. DEVELOPMENT**

#### 1<sup>ST</sup> QUARTER 2022 > 2<sup>ND</sup> QUARTER 2022

• Develop actions to make academic research understandable to the general public (« Science Week », « My thesis in 180 seconds » etc.).

Performance Indicator: Number of participants per action (visitors for the « Science Week », candidates for « My thesis in 180 seconds » etc.).

#### **DIRECTION/ ACTION BEARER:**

Research **DIRECTION/** 

**REFERENT:** Communication, Libraries

**Academic Outreach** 

Academic Outreach

#### **5.2. DEVELOPMENT** ON GOING

 Train and support researchers in the Data Management Plan.

Performance Indicators: Number and category of Data Management Plan training courses offered/Number of people trained (overall and by category) on the Data Management Plan/Evaluation of satisfaction via a hot-cold scale questionnaire of the trainees/Number of Université Paris Cité Data Management Plan trainings accompanied by Research/archives/library management.

#### DIRECTION/ ACTION BEARER: Research DIRECTION/ REFERENT: Archives, Libraries

#### 6.1. DEVELOPMENT

#### 4<sup>TH</sup> QUARTER 2022 > 3<sup>RD</sup> QUARTER 2025

 Pursue the implementation of the multi-year policy to promote the recruitment of staff with disabilities.
 See Action II 1.1.

<u>Performance Indicators:</u> Number of applications from candidates with disabilities/Number of compulsory employment for disabled researcher recruitments/ Evaluation of satisfaction via a questionnaire.

### DIRECTION/ ACTION BEARER:

**REFERENT:**Communication,
Faculties

**DIRECTION/** 

#### **6.2. FORMALIZATION**

#### **1**<sup>ST</sup> **QUARTER 2022 > 3**<sup>RD</sup> **QUARTER 2025**

 Develop professional equality between women and men in terms of recruitment, remuneration and promotion (see Professional Equality Plan).

Performance Indicators: Gender distribution of professor hires/Level of compensation according to gender (apart from bonuses) by category, with the median salary mentioned/Gender promotion rate/Gender-specific promotion rates (including access of associate professors to the highest pay scale)/Evaluation of perception via a questionnaire.

#### DIRECTION/ ACTION BEARER:

łR

**DIRECTION/ REFERENT:**Faculties

#### 6.3. FORMALIZATION

#### 4<sup>TH</sup> QUARTER 2022 > 4<sup>TH</sup> QUARTER 2026

 Pursue the decision to submit promotion files for professors at the national level and then at the local level.

Performance Indicator: Gendered promotion rate.

#### DIRECTION/ ACTION BEARER:

HR

DIRECTION/ REFERENT:

Faculties

#### 6.4. COMMUNICATION

#### 3<sup>RD</sup> QUARTER 2022 > 3<sup>RD</sup> QUARTER 2026

• Continue to grant a half-time teaching load in the year following a maternity leave. *See action III 1.1.* 

<u>Performance Indicator:</u> Number of women per year granted a half-time teaching load in the year following maternity leave.

#### DIRECTION/ ACTION BEARER:

DIRECTION/ REFERENT:

Faculties

#### **6.5. FORMALIZATION**

#### **3<sup>RD</sup> QUARTER 2022 > 3<sup>RD</sup> QUARTER 2026**

 Translate the Researcher's Guide into English, including pages related to ethics and scientific integrity.

Performance Indicator: Number of hits on English pages.

#### DIRECTION/ ACTION BEARER: Research DIRECTION/ REFERENT:

# 1. Non-discrimination

#### **1.1 DEVELOPMENT**

#### 1<sup>ST</sup> OUARTER 2022 > 3<sup>RD</sup> OUARTER 2026

• Continue to implement the multi-year policy to promote the recruitment of disabled staff. See Action I 6.1.

Performance Indicators: Number of applications from candidates with disabilities/Number of compulsory employment for disabled researcher recruitments/Evaluation of satisfaction via a questionnaire.

#### 1.2. COMMUNICATION 1<sup>ST</sup> QUARTER 2022 > 2<sup>ND</sup> QUARTER 2023

• Systematically feminize titles, grades and functions.

#### 1.3. DEVELOPMENT 1<sup>ST</sup> QUARTER 2022 > 2<sup>ND</sup> QUARTER 2023

- Be more vigilant about discriminatory statements (particularly in computerized hiring applications).
- Formalize written reminders on non-discrimination.

Performance Indicator: Number of written reminders sent.

#### DIRECTION/ **ACTION BEARER:**

**DIRECTION/ REFERENT:** 

Communication, **Faculties** 

#### **DIRECTION/ ACTION BEARER:**

**DIRECTION/** RFFFRFNT.

Communication, **Faculties** 

#### DIRECTION/ **ACTION BEARER:**

**DIRECTION/ REFERENT:** 

**IT Services** 

Principles

#### 2.1. COMMUNICATION

#### 3RD QUARTER 2022 > 3RD QUARTER 2026

• Continue the policy of detecting bias in the recruitment of professors.

Performance Indicators: Number of forms returned.

**DIRECTION/ ACTION BEARER:** 

**DIRECTION/ REFERENT:** 

**Faculties** 

**Transparence** 

#### 3.1. COMMUNICATION

#### 1<sup>ST</sup> QUARTER 2022 > 3<sup>RD</sup> QUARTER 2026

- Publish, on the page dedicated to the recruitment of professors, a vademecum on the composition of Selection Committees.
- Communicate better with unsuccessful candidates about the possibility of requesting the reports of the Selection Committees.
- Include an evaluation feedback form.

Performance Indicators: Evaluation of familiarity with ethical principles through evaluation questionnaires/Number of views/Number of completed evaluation forms returned.

#### DIRECTION/ **ACTION BEARER:**

HR

**DIRECTION/ REFERENT:** Communication, **Faculties** 

4. Merit-Based Recruitment

#### **4.1. COMMUNICATION** 4<sup>TH</sup> QUARTER 2023 > 4<sup>TH</sup> QUARTER 2025

• Develop a guide to good recruitment practices to include all the points of the European Charter for Researchers relating to recruitment, specifying in particular that atypical applications should not be eliminated out of hand. Put online and disseminate.

Performance Indicators: Number of connections and annual growth.

DIRECTION/ **ACTION BEARER:** 

**DIRECTION/ REFERENT:** 

Communication. **Faculties** 

Recruitment

#### 5.1. DEVELOPMENT

#### 4<sup>TH</sup> QUARTER 2022 > 4<sup>TH</sup> QUARTER 2026

• Create a vademecum on the different types of recruitment. Put online and disseminate.

Performance Indicator: Number of connections and annual growth.

#### **5.2. COMMUNICATION (PUBLICATION)** 4TH QUARTER 2023 > 4TH QUARTER 2025

 Continue to publish recruitments and job descriptions in EURAXESS, but under the Université Paris Cité accounts.

Performance Indicators: Number of jobs announced via EURAXESS/ Number of applications from this channel (if traceability is possible).

#### **5.3. DEVELOPMENT (PUBLICATION)** 3<sup>RD</sup> QUARTER 2023 > 4<sup>TH</sup> QUARTER 2023

Publish the institution's doctoral recruitment criteria.

Performance Indicator: Number of views

#### **5.4. FORMALIZATION**

#### 1<sup>ST</sup> QUARTER 2022 > 2<sup>ND</sup> QUARTER 2022

• Include, in the framing letter relating to the composition of hiring committees, a recommendation to include a professional scenario, on a standard format to be differentiated by discipline.

Performance Indicator: Number of professional scenarios.

#### **5.5. DEVELOPMENT**

#### 1<sup>ST</sup> QUARTER 2022 > 4<sup>TH</sup> QUARTER 2024

• Publish the terms and conditions of post-doctoral contracts and recruitment opportunities.

Performance Indicator: Number of connections and annual growth.

#### **5.6. FORMALIZATION**

#### 2<sup>ND</sup> QUARTER 2022 > 2<sup>ND</sup> QUARTER 2023

• Publish a charter for the recruitment of contract agents. Performance Indicator: Number of contract agents concerned.

#### **5.7 DEVELOPMENT**

#### 1<sup>ST</sup> QUARTER 2023 > 2<sup>ND</sup> QUARTER 2024

• Harmonize recruitment practices medecine researchers (with the Ministry for Health).

#### **6.1. DEVELOPMENT**

#### 2<sup>ND</sup> QUARTER 2022 > 4<sup>TH</sup> QUARTER 2023

• Establish precise rules and shared criteria for the recruitment of Temporary Teaching and Research Assistants and the recruitment of contract researchers.

Performance Indicator: Study of recruitment reports.

#### DIRECTION/ **ACTION BEARER:**

HR

#### **DIRECTION/ REFERENT:**

Communication, **Faculties** 

#### **DIRECTION/ ACTION BEARER:**

#### DIRECTION/ **ACTION BEARER:**

#### **DIRECTION/ REFERENT:**

Communication, **Faculties** 

#### **DIRECTION/ ACTION BEARER:**

#### **DIRECTION/ REFERENT:**

**Faculties** 

#### DIRECTION/ **ACTION BEARER:**

#### **DIRECTION/** REFERENT:

Research, Faculties

#### **DIRECTION/ ACTION BEARER:**

HR

#### **DIRECTION/ ACTION BEARER:**

HR

#### DIRECTION/ **ACTION BEARER:**

**Postdoctoral** Recruitment

# iscrimination

Supervision

1.1 COMMUNICATION

3<sup>RD</sup> QUARTER 2022 > 3<sup>RD</sup> QUARTER 2026

• Continue to grant a half-time teaching load in the year following a maternity leave. See action I 6.4.

Performance Indicator: Number of women per year granted a halftime teaching load in the year following maternity leave.

DIRECTION/ **ACTION BEARER:** 

**DIRECTION/ REFERENT:** 

**Faculties** 

#### 2.1. DEVELOPMENT

2<sup>ND</sup> QUARTER 2023 > 3<sup>RD</sup> QUARTER 2023

• Harmonize the practices related to the organization of the Individual Thesis Monitoring Committees.

Performance Indicator: Study of Individual Thesis Monitoring Committees reports.

**DIRECTION/ ACTION BEARER:** 

Research

DIRECTION/ REFERENT:

**Faculties** 

#### 3.1. DEVELOPMENT

1<sup>ST</sup> QUARTER 2025 > 4<sup>TH</sup> QUARTER 2026

- Encourage professors to avail themselves of disciplinary training
- Establish a unified calendar to facilitate the effective participation of professors in training programs. See action III 3.2: idem.

Performance Indicator: Professor participation rate.

DIRECTION/ **ACTION BEARER:** 

**DIRECTION/ REFERENT:** 

Communication. **Faculties** 

#### **3.2 DEVELOPMENT**

1<sup>ST</sup> QUARTER 2025 > 4<sup>TH</sup> QUARTER 2026

- Encourage directors of research units (DRU) to create their own training program.
- Establish a unified calendar to facilitate the effective participation of directors of research units (DRU) in training

Performance Indicator: Number of training courses offered by the DRUs/Number of training sessions conducted by the DRUs.

DIRECTION/ **ACTION BEARER:** 

**DIRECTION/** REFERENT: **Faculties** 

#### 3.3 DEVELOPMENT

4<sup>TH</sup> QUARTER 2022 > 4<sup>TH</sup> QUARTER 2026

• Propose a preparation seminar for the Accreditation to conduct

Performance Indicator: Realization of the seminar/Number of seminar participants.

**DIRECTION/ ACTION BEARER:** 

**DIRECTION/ REFERENT:** 

Research

#### 3.4. DEVELOPMENT

4<sup>TH</sup> QUARTER 2022 > 4<sup>TH</sup> QUARTER 2026

• Maintain and develop the advisory unit for professors and other research personnel.

Performance Indicator: Annual number of individual interviews by category (Professors (Associate and Full), Post-doc, PhD students, etc.).

#### **DIRECTION/ ACTION BEARER:**

DIRECTION/ **REFERENT:** 

**Faculties** 

#### 3.5. DEVELOPMENT

4<sup>TH</sup> QUARTER 2022 > 4<sup>TH</sup> QUARTER 2026

• Set up training sessions for all Doctoral thesis advisors on thesis management (project management, interpersonal relations).

Performance Indicator: Number of Doctoral Dissertation advisors trained in thesis management.

#### **DIRECTION/ ACTION BEARER:**

**DIRECTION/** REFERENT:

Research

**Professional Recognition** 

#### 4.1. DEVELOPMENT

#### 1<sup>ST</sup> QUARTER 2022 > 3<sup>RD</sup> QUARTER 2023

• Write a guide for in-house reports, for the granting of academic leaves of absence and promotions, in order to unify and harmonize practices.

Performance Indicators: Evaluation of familiarity with the Reporters' Guide via a questionnaire.

#### 4.2. DEVELOPMENT 2<sup>ND</sup> QUARTER 2023 > 3<sup>RD</sup> QUARTER 2024

• Set up an electronic professional portfolio, consistent with the information that professors must enter in GALAXIE, in order to improve the monitoring of professional experiences and to build harmonized CVs.

Performance Indicators: Number of professors familiar enough with Galaxie to harmonize CVs/Number of harmonized CVs in the portfolio.

#### DIRECTION/ **ACTION BEARER:**

**DIRECTION/ REFERENT:** Research

**DIRECTION/ ACTION BEARER:** 

**DIRECTION/ REFERENT:** 

**Faculties** 

#### **5.1. COMMUNICATION** 2<sup>ND</sup> QUARTER 2023 > 1<sup>ST</sup> QUARTER 2024

• Harmonize research support materials (handbook, researcher's guide, kit for the successful researcher of a European project, etc.).

Performance Indicator: Number of views (of online information)

#### **DIRECTION/ ACTION BEARER:**

Research **DIRECTION/ REFERENT:** Communication

#### **5.2. COMMUNICATION** 1<sup>ST</sup> QUARTER 2022 > 2<sup>ND</sup> QUARTER 2026

• Increase visibility, particularly among doctoral students, of the resources available to researchers such as training offers and calls for projects.

Performance Indicator: Number of newsletters sent.

#### **DIRECTION/ ACTION BEARER:**

Research

**DIRECTION/** REFERENT: Communication,

**Faculties** 

#### **5.3. COMMUNICATION** 3<sup>RD</sup> QUARTER 2022 > 2<sup>ND</sup> QUARTER 2023

- Increase visibility of the people in charge of health and safety (occupational doctor, PSR listening unit, H&S department, occupational medical assistants).
- Carry out preventive actions in terms of occupational health, particularly in terms of PSR with staff and in particular deans, component directors, laboratory directors, team leaders.

Performance Indicator: Number of occupational health actions carried out in any given year.

#### **DIRECTION/ ACTION BEARER:**

**DIRECTION/ REFERENT:** 

Hygiene and Safety, Faculties

#### **6.1. DEVELOPMENT**

#### 4<sup>TH</sup> QUARTER 2022 > 4<sup>TH</sup> QUARTER 2026

 Prioritize requests for training of research staff returning from maternity leave.

Performance Indicator: Number of occupational health actions carried out in any given year.

#### **DIRECTION/ ACTION BEARER:**

DIRECTION/ **REFERENT:** Research, Faculties

#### **6.2. DEVELOPMENT** 4<sup>TH</sup> QUARTER 2022 > 4<sup>TH</sup> QUARTER 2026

• Adopt a telework protocol.

Performance Indicator: Number of people teleworking per year.

#### **DIRECTION/ ACTION BEARER:**

**DIRECTION/ REFERENT:** Research, Faculties

# II. Career

**Promoting Mobility** 

#### 7.1. COMMUNICATION 1ST QUARTER 2023 > 2ND QUARTER 2023

- Support the mobility of teaching and research staff by helping their spouses find employment.
- Maintain the partnership with national employment agency . <u>Performance Indicators:</u> Annual number of files received to help a

<u>Performance Indicators:</u> Annual number of files received to help a spouse find employment/Annual number of files communicated to national employment agency.

DIRECTION/
ACTION BEARER:
HR
DIRECTION/
REFERENT:
Communication

#### **7.2. DEVELOPMENT**3<sup>RD</sup> QUARTER 2022 > 3<sup>RD</sup> QUARTER 2023

- Create a one-stop shop to centralize immigration reception procedures on French territory.
- Unify the information disseminated on the reception and working conditions of international researchers.

<u>Performance Indicators:</u> Number of international researchers hosted (PhD students, visiting professors, etc.)/Evaluation of satisfaction via a questionnaire.

DIRECTION/ ACTION BEARER: Research DIRECTION/ REFERENT: Communication, Campus Life,

**Faculties** 

#### **7.3. COMMUNICATION**3<sup>RD</sup> QUARTER 2022 > 1<sup>ST</sup> QUARTER 2026

• Increase visibility of opportunities for mobility.

<u>Performance Indicators:</u> Annual number of professor mobility requests/Annual number of professors in incoming mobility/Annual number of professors in outgoing mobility.

DIRECTION/ ACTION BEARER: Research DIRECTION/ REFERENT: Faculties, HR

#### Work/ Life alance

#### **8.1 DEVELOPMENT**

3<sup>RD</sup> QUARTER 2022 > 4<sup>TH</sup> QUARTER 2026

• Create a Quality of Work Life Observatory.

DIRECTION/
ACTION BEARER:
HR

### 9. Intellectual Property Rights

#### 9.1 COMMUNICATION 3RD QUARTER 2022 > 1ST QUARTER 2026

• Communicate information regarding patent premiums. *Performance Indicator: Number of patent bonuses granted.* 

DIRECTION/ ACTION BEARER: Research DIRECTION/ REFERENT: Communication, Faculties

# Implementation and follow-up of the action plan

According to the governance structure, a Steering Committee for the implementation and monitoring of Université Paris Cité action plan has been set up. This Steering Committee, specifically in charge of monitoring the progress of the actions within the planned schedule and the collection and conservation of data relating to the performance indicators, will meet every six months.

#### It brings together the following people:

- Vice President, Human Relations
- Vice President Research
- HRS4R Project Manager
- Director of Human Resources
- Director of Research
- Director of Communication
- General Manager of Services
- Director of the Quality Process
- Director of Libraries and Museums
- Dean of the Faculty of Health
- Dean of the Faculty of Humanities and Social Sciences
- Dean of the Faculty of Sciences

An annual progress report will be presented to the authorities (Technical Committee and Academic Senate) by the university presidency. Naturally, this report will mention adherence to the implementation schedule and the planned adjustments, both in terms of actions and means.

#### An Operational Committee will meet four times a year. It is made up of:

- the HRS4R referent for the Human Resources Department (Recruitment and Career sections),
- the HRS4R referents for the Research Department (Ethics section),
- **the Chairpersons** of the Research Ethics Operational Committee, the Scientific Integrity Operational Committee and the Ethics Operational Committee,
- the HRS4R referent for the Communication Department (transversal Communication section),
- **the Mission Director** of the support for quality management,
- the HRS4R referent for the Libraries and Museums Department.
- representatives of the board of each faculty.

In addition, the Operational Committee will take note of the remarks made by committees representing each faculty. Five representatives, designated by authorities of each faculty, will meet together every two months to prepare the meetings of the Operational Committee, particularly with regard to compliance with OTM principles. It will be also informed by the Equality mission.

# Preparation of the self-evaluation

With a view to self-evaluation, once Université Paris Cité obtains the HRS4R label, we plan to disseminate several questionnaires. Each of them will be addressed to different categories of researchers: directors of research units, doctoral students on contract, and presidents of hiring committees, for example. The questions will focus on topics of interest to each category of research personnel and would have a qualitative dimension.

In addition, in order for us to ensure that the HRS4R label action plan corresponds to the standards of the world's leading universities, we will seek the opinion of the Strategic Advisory Board of Université Paris Cité as to the purpose, steering and implementation of the project.

The Board would have to give its opinion on possible cooperation with those research organizations having obtained the label (CNRS, INSERM), in order to enable, during the implementation of the action plan, a unity in favour of an integrated eco-system of human resources for research within Université Paris Cité.

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